# Strong Unicity for Monotone Approximation by Reciprocals of Polynomials

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This paper gives a counterexample that the strong unicity fails for best monotone approximation by reciprocals of polynomials and establishes the strong unicity of order 1/2 for some class of functions. © 1994 Academic Press, Inc.

## 1. INTRODUCTION

Let C[a, b] denote the space of all real continuous functions defined on an interval [a, b] with the uniform norm. For a function f in C[a, b], the best approximation of f by a family of monotone functions has been studied by many authors (see [1-4]). In paper [4], the approximation by monotone reciprocals of polynomials was considered. The approximation problem, which was presented by G. D. Taylor, is the first step for studying the approximation by monotone rationals. The characterization and unicity theorems were given in [4]. It is already known that strong unicity of the best approximation is related to the Lipschitz conditions for the best approximation operators. In this paper, we consider the strong unicity of the best monotone approximation by reciprocals of polynomials. In Section 2, at first, we give a counterexample for which the strong unicity fails; and second, in Sections 3 and 4, the unicity for some class of functions and strong unicity of order 1/2 are established, respectively. Let  $\pi_n$  denote the set of real algebraic polynomials of degree *n* or less. Define

$$R_n = \{r = d/p \colon p \in \pi_n, \ p(x) > 0 \text{ for all } x \text{ in } [a, b], \ d = \pm 1 \text{ or } 0\}$$
$$R_n^* = \{r \in R \colon r'(x) \ge 0 \text{ for all } x \text{ in } [a, b]\}.$$

For  $f \in C[a, b]$ , if  $r_f \in R_n^*$  satisfies

$$||f - r_f|| = \inf\{||f - r|| : r \in R_n^*\}$$

then  $r_f$  is called a best monotone approximation of f by reciprocals of polynomials. From [4], for any  $f \in C[a, b]$ , the best approximation to f exists.

*Remark.* (1) If n = 0 or  $f \in R_n^*$ , the best approximation to f is strongly unique

(2)  $f \in C[a, b]$  satisfies  $\max\{f(x): x \in [a, b]\} = -\min\{f(x): x \in [a, b]\}$  if and only if the best approximation of f in  $R_n^*$  is  $r_f = 0$  (see [4] or observe this directly by the characterization of [6]). In this case,  $r_f = 0$  is not strongly unique in general. For example, let

$$f_n(x) = \begin{cases} -\frac{1}{4}, & x = 0\\ -\left[\frac{(n+6)}{(n+2)}\right]x - \frac{1}{4}, & 0 < x < \frac{1}{2}\\ -\frac{3}{4} - \frac{2}{(n+2)}, & x = \frac{1}{2}\\ \frac{3n^2 + 11n + 6}{(n+1)(n+2)}\left(x - \frac{1}{2}\right) - \frac{3}{4} - \frac{2}{n+2} & \frac{1}{2} < x < 1\\ \frac{3}{4} - \frac{1}{(n+1)}, & x = 1 \end{cases}$$

$$f_0(x) = \begin{cases} -\frac{1}{4}, & x = 0\\ -x - \frac{1}{4}, & 0 < x < \frac{1}{2}\\ -\frac{3}{4}, & x = \frac{1}{2}\\ \frac{3(x - 1)(2) + \frac{3}{4}, & \frac{1}{2} < x < 1}{\frac{3}{4}, & x = 1} \end{cases}$$

$$r_n(x) = -\frac{1}{(nx+1)}, x \in [0, 1]; \quad r_0 = 0, x \in [0, 1].$$

Then for any  $n = 1, 2, ..., r_n$  is a best approximation to  $f_n$  in  $R_n$ . Since  $r_n \in R_n^*$ ,  $r_n$  is also a best approximation to  $f_n$  in  $R_n^*$ . On the other hand,  $r_0$  is also a best approximation to f in  $R_n^*$ . Since  $f_n \to f_0$  but  $r_n \neq r_0$ ,  $r_0$  is not strongly unique.

By the above remarks, without loss of generality, we can assume that  $n \ge 1, f \in C[a, b] \setminus R_n^*$ , and  $\max(f(x): x \in [a, b]) \ne -\min\{f(x): x \in [a, b]\}$  in the following.

#### 2. CHARACTERIZATION AND COUNTEREXAMPLE

The following is given in [4]:

THEOREM A.  $f \in C[a, b] \setminus R_n^*$ ,  $r_f = d/p_f$  (d = 1 or -1) is a best approximation to f in  $R_n^*$  if and only if there is no element  $q \in \pi_n$  such that

sign 
$$q(x) = sign[f(x) - r_f(x)], \quad x \in A(f, r_f)$$
  
 $q'(x) > 0, \quad x \in B(r_f),$ 

where

$$A(f, r_f) = \{x \in [a, b] : ||f - r_f|| = |f(x) - r_f(x)|\}$$
  
$$B(r_f) = \{x \in [a, b] : r'(x) = 0\}.$$

For convenience, we define the notations

$$S_1 = \{\sigma(x)(1, x, ..., x^n) : x \in A(f, r_f)\}$$
  

$$S_2 = \{(0, 1, 2x, ..., nx^{n-1}) : x \in B(r_f)\},\$$

where  $\sigma(x) = \text{sign}[f(x) - r_f(x)].$ 

THEOREM 2.1.  $f \in C[a, b] \setminus R_n^*$ ,  $\max\{f(x) : x \in [a, b]\} \neq -\min\{f(x) : x \in [a, b]\}$ ,  $r_f \in R_n^*$ , and the following are equivalent to each other:

- (1)  $r_f$  is a best approximation to f in  $R_n^*$ .
- (2)  $0 \in \operatorname{co}(S_1 \cup S_2).$

(c) There are  $x_1, ..., x_m \in A(f, r_f), y_1, ..., y_k \in B(r_f), and \alpha_i > 0, \beta_j > 0$ (i = 1, 2, ..., m; j = 1, 2, ..., k) such that for any  $p \in \pi_n$ 

$$\sum_{i=1}^{m} \alpha_i \sigma(x_i) \ p(x_i) + \sum_{j=1}^{k} \beta_j \ p'(y_j) = 0$$
(1)

and in addition,  $m + 2k - e \ge n + 2$ ,  $m \ge 1$ , where e is the number of points in  $\{a, b\} \cap \{y_1, ..., y_k\}$ .

*Proof.* By the "Linear Inequality Theorem" of [6] and Theorem A, the equivalence of (1) and (2) is obtained easily.

As to the equivalence of (2) and (3), what we need to do is to prove that  $m \ge 1$  and  $m + 2k - e \ge n + 2$ . Clearly,  $m \ge 1$  is true. In fact, if otherwise we would have that for any  $f' \in C[a, b] \setminus R_n^*$ ,  $r_f$  was also a best approximation to f', which, obviously, is a contradiction. Now let's prove that  $m + 2k - e \ge n + 2$ . Set

$$H = (a, b) \cap [\{y_1, ..., y_k\} \setminus \{x_1, ..., x_m\}]$$

and let s be the number of points in H. Without loss of generality, assume that  $H = \{y_1, ..., y_s\}$ . If we can prove that  $m + k + s \ge n + 2$  then

$$m+2k-e \ge m+k+s \ge n+2$$

and thus we complete the proof.

Suppose that  $K = m + k + s \le n + 1$ , then (1) implies

$$\sum_{i=1}^{m} \alpha_i \sigma(x_i) x_i^t + \sum_{\nu=1}^{s} \gamma_{\nu} y_{\nu}^t + \sum_{j=1}^{k} \beta_j t y_j^{t-1} = 0, \qquad t = 0, 1, ..., K,$$
(2)

where  $\gamma_v = 0$ , for v = 1, 2, ..., s. Let C denote the coefficient matrix of (2) in the view of  $\alpha_i \sigma(x_i)$ ,  $\gamma_v$ ,  $\beta_j$  being unknown numbers for i = 1, 2, ..., m, v = 1, 2, ..., s, j = 1, 2, ..., k, then C is the matrix of the following Birkhoff interpolation problem. Find  $q \in \pi_k$  satisfying

$$q(x_i) = a_i, \qquad i = 1, 2, ..., m$$
  

$$q(y_v) = b_v, \qquad v = 1, 2, ..., s$$
  

$$q'(y_j) = c_j, \qquad j = 1, 2, ..., k.$$
(3)

Since, in interpolation problem (3), the points where the interpolation condition on g' can occur without a corresponding condition on q are at most the points a and b, the incidence matrix of the above Birkhoff interpolation problem satisfies the Polya condition. Moreover, the incidence matrix has no support sequence, hence the incidence matrix is order normal by [7]. This implies that (3) has a unique solution in  $\pi_k$ . So (2) has only the zero solution, which is a contradiction.

EXAMPLE 2.1 (Best Approximation Which Is Not Strongly Unique). Define

$$p_f(x) = -(x - 1/\sqrt{3})^3 + c, \qquad x \in [-1, 1]$$
  
$$f(x) = 1/2 - x^2 + [p_f(x)]^{-1}, \qquad x \in [-1, 1],$$

where c is a constant with

$$\min_{[-1,1]} p_f(x) = \sqrt{2}.$$

Then  $r_f = 1/p \in R_3^*$  is a best approximation to f. In fact,  $||f - r_f|| = 1/2$  and

$$A(f, r_f) = \{-1, 0, 1\}; \qquad B(r_f) = \{1/\sqrt{3}\}$$
  
$$\sigma(-1) = -1, \qquad \sigma(0) = 1, \qquad \sigma(1) = -1.$$

It is easy to check that

$$(2 - \sqrt{3}) \sigma(-1) p(-1) + 4\sigma(0) p(0) + (2 + \sqrt{3}) \sigma(1) p(1) + 2\sqrt{3} p'(1/\sqrt{3}) = 0$$

for p(x) = 1, x,  $x^2$ ,  $x^3$ . By Theorem 2.1,  $r_f$  is a best approximation to f in  $R_3^*$ .

For sufficiently small  $\alpha > 0$ , set

$$p_{\alpha}(x) = p_{f}(x) - \alpha x [x^{2} - (1 - \alpha)], \quad x \in [-1, 1].$$

Then  $p'_{\alpha} \leq 0$  for all x in [-1, 1] and  $r_{\alpha} = 1/p_{\alpha} \in R_3^*$ . Since  $p_{\alpha} \to p_f$  when  $\alpha \to 0$ , there is a M > 0 such that

$$1 \leq p_{\alpha}(x) \ p_{f}(x) \leq M$$

for any  $x \in [-1, 1]$  and sufficiently small  $\alpha > 0$ .

Claim I. For sufficiently small  $\alpha > 0$ ,

$$||r_{\alpha}-r_{f}|| \ge 2\alpha(1-\alpha)^{3/2}/M3^{3/2}.$$

In fact, by [2, Sect. 2, Claim II]

$$||p_{\alpha} - p_{f}|| = 2/3^{3/2} [\alpha(1-\alpha)^{3/2}]$$

which implies that

$$||r_{\alpha}-r_{f}|| \ge \frac{1}{M} ||p_{\alpha}-p_{f}|| = \frac{2}{M\sqrt{3^{3}}} \alpha (1-\alpha)^{3/2}.$$

Claim II. For sufficiently small  $\alpha > 0$ ,

$$\|f-r_{\alpha}\| \leq \frac{1}{2} + \alpha^2.$$

*Proof.* The following was proved in [2]:

$$\|\frac{1}{2} - x^{2} \{-\alpha x [x^{2} - (1 - \alpha)]\}\| = \frac{1}{2} + \alpha^{2}.$$
 (4)

For any  $x \in [-1, 1]$ 

$$|f(x) - r_{\alpha}(x)| = |\frac{1}{2} - x^{2} + \{-\alpha x [x^{2} - (1 - \alpha)]/p_{f}(x) p_{\alpha}(x)\}|.$$

If  $1/2 - x^2$  and  $-\alpha x [x^2 - (1 - \alpha)]$  have the same sign, then  $|f(x) - r_{\alpha}(x)| = |\frac{1}{2} - x^2| + |-\alpha x [x^2 - (1 - \alpha)]/p_f(x) p_{\alpha}(x)|$   $\leq |\frac{1}{2} - x^2| + |-\alpha x [x^2 - (1 - \alpha)]|$   $= |\frac{1}{2} - x^2 - \alpha x [x^2 - (1 - \alpha)]|$  $\leq \frac{1}{2} + \alpha^2.$ 

If  $1/2 - x^2$  and  $-\alpha x [x^2 - (1 - \alpha)]$  have different signs, since

$$\begin{aligned} |\frac{1}{2} - x^2| \ge |-\alpha x [x^2 - (1 - \alpha)] / p_\alpha(x) p_f(x)| \\ |f(x) - r_\alpha(x)| = |\frac{1}{2} - x^2| - |-\alpha x [x^2 - (1 - \alpha)] / p_f(x) p_\alpha(x)| \\ \le |\frac{1}{2} - x^2| \le \frac{1}{2} + \alpha^2 \end{aligned}$$

holds for sufficiently small  $\alpha > 0$ , the proof of Claim II is complete.

Now from Claim I and Claim II

$$[\|f - r_{\alpha}\| - \|f - r_{f}\|] / \|r_{\alpha} - r_{f}\| \leq 3^{3/2} M\alpha / [2(1-\alpha)^{3/2}] \to 0$$

when  $\alpha \rightarrow 0$ . Hence  $r_f$  is not strongly unique.

## 3. STRONG UNICITY FOR SOME CLASSES

LEMMA 3.1. If  $r_f \in R_n^*$  is a best approximation to f, and  $q \in \pi_n$  satisfies

- (1)  $q(x) \sigma(x) \ge 0$  for any  $x \in A(f, r_f)$ ,
- (2)  $q'(x) \ge 0$  for any  $x \in B(r_f)$ ,
- (3)  $x \in (a, b) \cap B(r_f)$  and q'(x) = 0 implies q''(x) = 0;

or if it satisfies (1), (2), and

 $(3') \quad 1 \leq \partial p_f \leq 2$ 

then  $q \equiv 0$ , where  $\partial p_f$  means the degree of  $p_f$ .

*Proof.* By the conditions (1) and (2) and Theorem 2.1, we have that  $q(x_i) = 0$  and  $q'(y_i) = 0$  for i = 1, 2, ..., m; j = 1, 2, ..., k.

In the case (3), we count the zeroes of q'(x). Since  $q''(y_j) = 0$  for  $y_j \in (a, b)$ , q'(x) has at least two zeroes at  $y_j$  for  $y_j \in (a, b)$  and q'(x) has at least 2k - e zeroes at  $y_1, ..., y_k$ . As to  $x_1, ..., x_m$ , without loss of generality, we assume  $x_1 < x_2 ... < x_m$  and  $q \neq 0$ . Since  $q(x_i) = q(x_{i+1}) = 0$ , q'(x) has at least one zero z in  $(x_i, x_{i+1})$ , which is different from any  $y_j$  (j = 1, 2, ..., k), or there is  $y_j$  such that q'(x) has at least three zeroes at  $y_j$ . In fact, if otherwise, q'(x) has two zeroes at any  $y_i$ , and  $q'(x) \neq 0$  for any x in

 $(x_i, x_{i+1})$  and  $x \neq y_j$  (j = 1, 2, ..., k). Then  $q'(x) \ge 0$  on  $(x_i, x_{i+1})$  by Taylor expansion of q'(x). This implies  $q(x) \equiv 0$ , which is a contradiction. Hence q'(x) has at least m - 1 + 2k - e zeroes in [a, b]. This implies that  $q'(x) \equiv 0$  since  $m - 1 + 2k - e \ge n + 1$ , and so  $q(x) \equiv 0$ .

In the case (3'),  $p'_f(x)$  has at most one simple zero, y. Since  $p_f(x)$  is a monotone function, y = a or b and k = e, which implies that q(x) has  $m \ge n+2-2k+e \ge n+1$  zeroes. So  $q(x) \equiv 0$  and the proof is complete.

LEMMA 3.2. If the best approximation to f in  $R_n^*$  is  $r_f = d/p_f$  (d = 1 or -1),  $r_k = d_k/p_k \in R_n^*$  ( $d_k = 1$  or -1) such that

$$\|f - r_k\| \to \|f - r_f\|, \qquad k \to \infty$$

then there is a sequence  $\{r_k\}$  (denoted by itself) such that

$$r_k = d/p_k$$
 and  $p_k \to p_f, k \to \infty$ .

*Proof.* Without loss of generality, we assume that d=1. Since  $||f-r_k|| \to ||f-r_f||$  when  $k \to \infty$ , then  $d_k = 1$  for sufficiently large k. In fact, if otherwise, there is a  $x_0 \in [a, b]$  with  $f(x_0) = ||f||$ . So

$$||f - (-1)/p_k|| \ge f(x_0) + 1/p_k(x_0) > f(x_0) = ||f||$$

and

$$||f - r_k|| - ||f - r_f|| > ||f|| - ||f - r_f|| > 0$$

which contradicts  $||f - r_k|| \rightarrow ||f - r_f||$  when  $k \rightarrow \infty$ .

Since  $||f - r_k|| \rightarrow ||f - r_f||$ ,  $\{||r_k||\}$  is bounded, then  $\delta = \inf\{p_k(x): k = 1, 2, 3, ...\} > 0$ . Since  $\{p_k/||p_k||\}$  and  $\{1/||p_k||\}$  are bounded, we may assume that  $p_k/||p_k|| \rightarrow q(x)$  and  $1/||p_k|| \rightarrow a$  for some  $q(x) \in \pi_n$  and a. Then for any  $x \in A(f, r_f)$ ,

$$(q - ap_f)(x) = \lim_{k \to 0} (p_k / || p_k || - p_f / || p_k ||)(x)$$
  
$$\leq \lim_{k \to 0} (p_k p_f)(x) / || p_k || \cdot [|| f - r_k || - || f - r_f ||]$$
  
$$= 0.$$

On the other hand, since  $q'(x) \leq 0$  on [a, b] by  $p'_k(x) \leq 0$  on [a, b], then

$$(q-ap_f)'((x) \leq 0, \qquad x \in B(r_f)$$

and if  $x \in B(r_f) \cap (a, b)$  and  $(q - ap_f)'(x) = 0$ , then q'(x) = 0 and q''(x) = 0. Then

$$(q - ap_f)'' = 0$$

by  $p''_{f}(x) = 0$ . Using Lemma 3.1, we have that

$$q - ap_f = 0$$

and

$$p_f = q/a = \lim_k p_k$$

since ||q|| = 1 and  $a \neq 0$ . The proof is complete.

**THEOREM 3.1.** Assume  $f \in C[a, b]$ ,  $r_f = d/p_f \in R_n^*$  (d = 1 or -1) is a best approximation to f in  $R_n^*$ . If  $\partial p_f \leq 2$ , then  $r_f$  is strongly unique; that is, there exists  $\gamma > 0$  such that

$$||f - r|| \ge ||f - r_f|| + \gamma ||r - r_f||$$

for any  $r \in R_n^*$ .

*Proof.* Without loss of generality, we prove the theorem only for the case d = 1. Suppose there was a sequence  $\{r_k\}$  in  $R_n^*$ ,  $r_k = d_k/p_k$  such that

$$R(r_k) = [\|f - r_k\| - \|f - r_f\|] / \|r_k - r_f\| \to 0$$

when  $k \to \infty$ . Since  $R(r_k) \ge 1 - 2||f - r_f|| / ||r - r_f||$ ,  $\{||r_k - r_f||\}$  is bounded, this concludes that  $||f - r_k|| \to ||f - r_f||$ . By Lemma 3.2, there is a subsequence  $\{r_k\}$ , denoted by itself again, such that  $r_k = 1/p_k$  and  $p_k \to p_f$ when  $k \to \infty$ . Let

$$C = \inf\{\max_{x \in A(f, r_f)} [-\sigma(x)(p_f - h)(x) / || p_f - h||]:$$
  
  $h \in \pi_n, || p_f - h|| \neq 0, h'(x) \leq 0 \text{ for all } x \in [a, b]\},$ 

then C > 0. In fact, if  $C \le 0$ , there is  $h_m \in \pi_n$  with  $h'_m \le 0$  for m = 1, 2, ... such that

$$\lim_{m} \max\{-\sigma(x)(p_{f}-h_{m})(x)/||p_{f}-h_{m}||: x \in A(f, r_{f})\} \leq 0.$$

Then there exists a subsequence of  $\{(p_f - h_m)/||p_f - h_m||\}$ , denoted by itself, and  $q \in \pi_n$  such that

$$(p_f - h_m)/||p_f - h_m|| \to q$$
 when  $k \to \infty$ .

Hence

$$\sigma(x) q(x) \ge 0, \qquad x \in A(f, r_f)$$
$$q'(x) \ge 0, \qquad x \in B(r_f)$$

if  $\partial p_f \ge 1$ , q(x) satisfies the conditions (1), (2), and (3') in Lemma 3.1, and  $q(x) \equiv 0$ . If  $\partial p_f = 0$ ,  $p_f$  is a constant, and q(x) is monotone, then q''(x) = 0 for any  $x \in B(r_f) \cap (a, b)$  and q'(x) = 0. Again by Lemma 3.1,  $q(x) \equiv 0$ , which contradicts ||q|| = 1. So C > 0. But on the other hand, for any k there exists  $x \in A(f, r_f)$  such that

$$R(r_{k}) \geq \sigma(x)(p_{k} - p_{f})(x) / [ ||r_{k} - r_{f}|| p_{k}(x) p_{f}(x) ]$$
  
$$\geq C ||p_{k} - p_{f}|| / [ ||r_{k} - r_{f}|| p_{k}(x) p_{f}(x) ]$$
  
$$\geq C / [ ||1/p_{k} p_{f}|| p_{k}(x) p_{f}(x) ].$$

Let

$$M = \| p_f \| + 1, \qquad \delta = \min_{[a,b]} p_f(x) > 0$$

Then for sufficiently large k,

$$\min_{[a,b]} p_k(x) \ge \frac{\delta}{2}, \qquad \|p_k\| \le M, \qquad \text{and} \qquad R(r_f) \ge \frac{c\delta^2}{2M^2} > 0$$

which contradicts that  $R(r_k) \rightarrow 0$  when  $k \rightarrow \infty$ . The proof is complete.

## 4. Strong Unicity of Order 1/2

Suppose  $r_f = d/p_f \in R_n^*$  (d = 1 or -1) is a best approximation to f in  $R_n^*$ . For any  $g \in \pi_n$ , define

$$||g||' = \max\{|g(x)|, |g'(y)|: x \in A_m, y \in B_1\},\$$

where  $A_m = \{x_1, x_2, ..., x_m\} \subset A(f, r_f), B_1 = \{y_1, y_2, ..., y_1\} \subset B(r_f)$  such that  $A_m$  and  $B_1$  satisfy (1).  $\|\cdot\|'$  is a seminorm in  $\pi_n$  and by Markov's inequality [8] we can easily get that, for any g in  $\pi_n$ ,  $\|g\|' \leq M \|g\|$  for some constant M > 0.

**THEOREM 4.1.** Suppose  $f \in C[a, b]$  with  $\max\{f(x): x \in [a, b]\} \neq -\min\{f(x): x \in [a, b]\}$ ,  $r_f$  is a best approximation to f in  $R_a^*$ . Then  $r_f$  is strongly unique of order 1/2, that is, for any N > 0, there is  $\gamma > 0$  such that

$$||f-r|| \ge ||f-r_f|| + \gamma ||r_f-r||^2$$

for any r in  $R_n^*$  with  $||r - r_f|| \leq N$ .

*Proof.* When n=0 or  $f \in \mathbb{R}_n^*$ , the result is true. Now we assume that n>0 and  $f \notin \mathbb{R}_n^*$ . In this case, the best approximation  $r_f = d/p_f$  (d = -1 or 1).

Again, we prove this only for d = 1, since the case d = -1 is similar. For any N > 0, let

$$\pi_n(f, N) = \{ p \in \pi_n \colon p(x) \leq N \text{ for any } x \in [a, b] \}$$

LEMMA 4.1. For any N > 0, there is  $\rho > 0$  such that

$$||f - r|| \ge ||f - r_f|| + \rho ||p_f - p||^2$$

for any  $r = d/p \in R_n^*$  (d = 1 or -1) with  $p \in \pi_n(f, N)$ .

*Proof.* Suppose there is  $r_k = d_k/p_k \in R_n^*$  (d = 1 or -1) and  $p_k \in \pi_n(f, N)$  such that when  $k \to \infty$ 

$$R'(r_k) = [\|f - r_k\| - \|f - r_f\|] / \|p_k - p_f\|' \to 0,$$

then  $\{\|p_k - p_f\|'\}$  is bounded by Markov's inequality. So  $\|f - r_k\| \rightarrow \|f - r_f\|$ . By Lemma 3.2, there is a subsequence  $\{r_k\}$  (denoted by itself), such that  $p_k \rightarrow p_f$ . Let

$$C = \inf \left\{ \max_{x \in A(f, r_f)} \frac{-\sigma(x)(p_f - h)(x)}{\|p_f - h\|'} : \|p_f - h\|' \neq 0, \\ h'(x) \leq 0 \text{ for all } x \in [a, b] \text{ and } h \in \pi_n \right\},$$

and with the same techniques as in the proof of Theorem 3.1 we get C > 0. Thus, for any k = 1, 2, ..., there is  $x \in A(f, r_f)$  such that

$$R'(r_k) \ge \frac{\sigma(x)(p_k - p_f)(x)}{\|p_k - p_f\|'(p_k p_f)(x)}$$
$$\ge \frac{C}{(p_k p_f)(x)},$$

which contradicts  $R'(r_k) \rightarrow 0$  when  $k \rightarrow \infty$ . The proof of Lemma 4.1 is complete.

Now let's go back to the proof of Theorem 4.1. We prove that

$$R(r) = \frac{\|f - r\| - \|f - r_f\|}{\|r - r_f\|^2}$$

has positive lower bound in  $\{r \in R_n^* : ||r - r_f|| \leq N\}$ . If not, there exists a sequence  $\{r_k\} \in R_n^*$  with  $||r_k - r_f|| \leq N$  such that  $R(r_k) \to 0$ . Then again by Lemma 3.2, there is a subsequence of  $\{r_k\}$ , denoted by itself again, such that  $r_k = 1/p_k$  and  $p_k \to p_f$  when  $k \to \infty$ . Appealing to the proof in [3,

Theorem 3.4], we obtain that  $||p_k - p_f||^2 \le M_1 ||p_k - p_f||'$  for some constant  $M_1$ . Since  $p_k \to p_f$ ,  $r_k \in R_n^*$ ,  $p_k \in \pi_n(f, N_1)$  for some  $N_1$ , then by Lemma 4.1 there exists a  $\rho > 0$  such that

$$||f - r_k|| \ge ||f - r_f|| + \rho ||p_k - p_f||$$

for any k = 1, 2, ... So

$$R(r_{k}) \ge \rho || p_{k} - p_{f} ||' / || r_{k} - r_{f} ||^{2}$$
$$\ge \frac{\rho}{M_{1}} || p_{k} - p_{f} ||^{2} / || r_{k} - r_{f} ||^{2}$$
$$\ge \frac{\rho \delta^{2}}{2M_{1}}$$

when  $k \to \infty$ , which contradicts  $R(r_k) \to 0$  and proves the theorem.

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